IMPROVED HEMODIALYSIS WATER THROUGH ULTRA-PURE WATER TREATMENT

An AmeriWater White Paper Authored By James W. Baker, President AmeriWater, Inc. Revised January 2011

THE IMPORTANCE OF USING ULTRA-PURE WATER

Water is the number one "medicine" in the dialysis process. It has been shown that providing water as close as possible to USP water for injection improves the treatment for the patient.

Since hemodialysis patients have diminished renal function, they have less ability to excrete toxic substances in their urine. The combination of this diminished capacity and their extensive exposure, places the hemodialysis patient at much greater risk to waterborne contaminants than the normal population. Today, there is a consensus that purer water (ultra-pure) with a very low level of endotoxin and other bacterial byproducts, is best for patients.

ULTRA-PURE WATER FOR DIALYSIS

Water that meets or exceeds the AAMI/ISO chemical standards and the new microbiological standards is considered ultra-pure water for dialysis. The new microbiological standards of 100 CFU with an action level of 50 CFU for bacteria and 0.25 EU with an action level of 0.125 EU for endotoxin will be difficult to meet unless post filtration is used after reverse osmosis.

In addition, other bacterial byproducts such as DNA, RNA, and metabolites cause chronic micro-inflammation and challenge patients' immune systems.

Water Contaminants and Adverse Patient Reactions

Acute symptoms associated with chemical and microbiological water contaminants:

- Usually sudden in onset and often affect more than one patient at a time.
- Sometimes confused with existing illness, chronic renal failure or medication reactions.

Chronic symptoms associated with chemical and microbiological water contaminants:

- Very frequent, insidious and develop slowly over time.
- ► Develop at different rates and degrees in each patient.
- ▶ Often mistaken for symptoms of chronic renal failure or other comorbid illnesses.

Health Related Problems Associated with Contaminants

Symptom	Possible Water Contaminant
Anemia	Aluminum, Chloramine, Copper, Zinc
Bone Disease	Aluminum, Fluoride
Hemolysis	Chloramines, Copper, Nitrates
Hypertension	Calcium, Sodium
Hypotension	Bacteria, Endotoxin, Nitrates
Metabolic Acidosis	Low pH, Sulfates
Muscle Weakness	Calcium, Magnesium
Nausea and Vomiting	Bacteria, Calcium, Copper, Endotoxin, Low pH, Magnesium
	Nitrates, Sulphate, Zinc
Neurological Deterioration	Aluminum

ULTRA-PURE WATER TREATMENT FOR DIALYSIS

An ultra-pure water treatment system designed for dialysis has to remove inorganic and organic contaminants to prevent patients from being exposed to them. Most water treatment systems can do a good job of removing these substances with reasonable amounts of maintenance and monitoring. Bacteria and its endotoxin byproducts are a universal problem in all dialysis water systems and require a concerted effort to maintain them at low levels. Both the 2006 AAMI Water Standards and 2010 AAMI/ISO Dialysate Standards make it very clear that maintaining these contaminants at very low levels in ultra-pure water is essential for dialysis.

Generally the ultra-pure water quality for hemodialysis should have a total chlorine level below 0.1 ppm, a conductivity below 10 μ S/cm (100,000 ohms/cm), a bacterial level below 10 CFU, and an endotoxin level below 0.25 EU.

Based on the AAMI standards and our knowledge of water treatment for dialysis, it is recommended that the following be utilized to provide ultra-pure water for dialysis.

- 1. Disinfection of all water systems, including the reverse osmosis machine and components, should be performed <u>at least</u> monthly.
- 2. Disinfection of bicarb mixing and distribution should be performed <u>at least</u> weekly.
- 3. Aseptic sampling for bacteria and endotoxin should be prior to disinfection.
- 4. Utilization of disinfectant procedures with ozone, heat, peracidic acid or chlorine of sufficient concentrations to not only kill bacteria, but also burn out endotoxin and attack and destroy biofilms that develop in the system.
- 5. Loop endotoxin filters must be utilized on any indirect loop design (storage tank system), UV irradiator or deionizer. It's also suggested on direct feed design.
- Recirculation flow in the loop must be maintained at 3-5 feet per second with all dialysis machines on line. (See chart). The flow rate in the loop should be verified.
- 7. Daily logs of the water treatment system with written protocols and parameters need to be maintained.
- 8. The dialysis staff must be properly trained on proper techniques of sampling water and dialysate for bacterial and endotoxin testing.

The following chart shows the recirculation requirements for dialysis water systems.

FLOW IN GPM

	Direct Feed	Pumped Recirculation		
Pipe Size	Velocity <u>1½ feet/second*</u>	Velocity <u>3 feet/second**</u>	Velocity <u>5 feet/second***</u>	
³∕₃" Tubing	0.28 GPM	0.55 GPM	1.0 GPM	
1/2" Tubing	0.55 GPM	1.1 GPM	1.75 GPM	
1⁄2" Pipe	1.0 GPM	2.0 GPM	3.0 GPM	
¾" Pipe	2.5 GPM	4.0 GPM	6.0 GPM	
1" Pipe	3.5 GPM	6.0 GPM	11.5 GPM	
1¼" Pipe	6.0 GPM	11.5 GPM	18.0 GPM	

It has been shown that keeping a system flowing at an adequate velocity will substantially reduce bacteria growth.

- * Direct feed loop (AAMI) during conditions of peak demand.
- ** Minimum for continuous loop (AAMI) during conditions of peak demand.
- *** Preferred for continuous loop during conditions of peak demand.

Formula for determining the flow with all stations operating:

Number of Stations x 0.21 GPM + Pipe Size Flow Rate = Total Minimum Loop Flow.

Example:

1" loop and 24 stations with storage tank.

24 stations x 0.21 GPM + 6.0 GPM = 11 GPM

The Medical Director Has the Ultimate Responsibility

Water treatment manufacturer's must have FDA 510K marketing clearance for all components from the blending valve through the endotoxin retentive filter, which is now specified in AAMI and CMS standards. According to the standards, the medical director has the ultimate responsibility:

FDA 21 CFR801.109(b)(1)

"Caution: When used as a medical device, Federal law restricts this device to sale by or on the order of a physician."

ANSI/AAMI RD62:2006

"The physician in charge of dialysis has the ultimate responsibility for selecting a water treatment system. The physician in charge of dialysis is also responsible for maintaining the performance of that system after control of the system has been transferred formally from the installer to the physician."

The FDA's Code of Federal Regulations:

The dialysis water treatment devices are regulated by the FDA as a medical device. Sometimes a dialysis center asks for equipment or services that are in conflict with the regulations with which the vendor must comply. It is recommended that the dialysis provider and the water treatment vendor discuss the differences they might have so both can meet the needs, comply with applicable regulations, and provide safe and effective treatment for the patient.

On the following page is a chart showing the different types of water treatment components, the necessary features and monitoring for maintaining continuous high quality water.

Summary of AAMI/FDA/CMS Standards for Water Treatment for Dialysis

This summary chart was compiled to give the current components, the required features and monitoring to provide safe and effective water quality for the hemodialysis patient.

Component/System	Features	Monitor
Reverse Osmosis	On-line temperature-compensated continuous monitor of conductivity or TDS that allow determination of rejection rate (percent rejection).	Daily Log: Product Flow
	Conductivity activates audible and visual alarm at preset alarm limit, 3-minute reset on silence.	Reject Flow
	Alarm in patient care area.	Pump PSI
	Divert product water to drain on conductivity alarm (portable acute and home exempt).	Reject PSI
	Verify meets AAMI water quality requirements at start-up, at membrane replacement, and yearly.	Conductivity or TDS
	Disinfect at least monthly (means to disinfect RO).	AAMI chemical test on start-up, membrane
	· · · · · · · · · · · · · · · · · · ·	replacement and at least
	Clean-in-place quarterly (means to clean RO).	annually.
	Best operation between 6 and 8 pH.	Calibrate monitor annually.
		Monthly bacteria and endotoxin tests prior to disinfect.
Deionization (if used)	Minimum of one (1) megohm water quality on a continuous temperature-compensated resistivity meter.	Daily Log: Resistivity (log twice each treatment day).
	Audible and visual alarm in patient care area, 3- minute reset.	AAMI chemical test on
	Divert to drain on alarm (portable acute and home exempt) with a minimum sensitivity of 1 megohm-	start-up and at least annually.
	cm.	Calibrate monitor
	Pre-treat with carbon.	annually.
	Replace DI worker at exhaustion within 72 hours provide polisher producing < 1 megohm-cm.	
	Post-treat with endotoxin filter.	
Water Softener	Sized to handle hardness.	Daily log:
	RO lockout during regeneration.	Time of day.
	Visible up front timer to verify proper time of day.	Salt in brine tank at least ½ full.
		Hardness test at end of day <1 gpg.

Component/System	Features	Monitor
Carbon and	Note: pH above 8.5 may interfere with carbon	Daily Log:
Chloramine/Chlorine Removal	function. Supplemental treatment may be required.	Total chlorine < 0.1 ppm between the beds prior
	Worker-Polisher arrangement (single worker-single polisher <u>or</u> parallel group worker-parallel group polisher).	to each shift or at least every 4 hours; at least every 1 hour if only polisher is working.
	Sample ports after worker(s) and polisher(s).	
	Test for total chlorine before each patient shift or at least every $\underline{4}$ hours.	ΔP through filters (ΔP < 15 psi).
	Replace old media with new in all tanks <u>at least</u> every 2 years.	Time of Day on backwashing filters.
	Carbon minimum iodine number of 900 or greater.	
	EBCT = 10 minutes = RO feed flow x 10 \div 7.48 = cubic feet total carbon.	Log must include actual
	Replace carbon at breakthrough of worker within 72 hours. Monitor polisher every hour until replacement of all carbon.	time testing is done if only polisher is working.
	Visible up front timer to verify proper time of day.	
	Home and portable acute are exempt from 10- Minute EBCT and worker/polisher arrangement.	
Supplementing Carbon:		Daily Log:
UV-185 Irradiator (TOC reduction)	185nm wavelength UV bulbs reduce chlorine and chloramines to chloride which the RO or DI removes. Usually sized three times larger than disinfection UV for the same flow rate.	Continuously monitor the milliwatt-sec/cm ² of at least 16 with alarm.
Dealkalizer	Anion exchange of alkalinity for chlorides.	
	Water softener pre-treat.	Test alkalinity daily at end of day. Test pH.
	Decreases pH.	
	Follow with water softener.	Total chlorine <0.1ppm
Redox or KDF Media	Means of regulating the metering pump.	at least every 4 hours. (Log)
Acid Injection	Continuous monitoring of pH of water with alarm in patient care area	Daily pH.
	Low pH alarm with shutdown of metering pump.	Level of chemical in reservoir
	Chemical added only when water is flowing.	Injector function

Component/System	Features	Monitor
Supplementing		
Carbon Cont.		
Sodium Bisulphite	Means to determine chemical being used (level in	Total chlorine <0.1ppm
Injection	reservoir).	at least every 4 hours.
Media Sediment Filter	The removed particulate clogs the filter and the	Daily Log:
	pressure drop across the filter increases.	
		Pressure drop (ΔP) less
	Backwash periodically.	than 15 psi.
		T . (D
	Visible up front timer to verify proper time of day.	Time of Day.
	Gauges to monitor differential pressure.	
Cartridge Filters	Designed for the flow rate needed.	Daily Log:
our mager more		Duny Log.
	Micron size for particle removal needed.	Pressure drop (ΔP) less
		than 10 psi.
	Opaque housing.	
	Caugaa to manitar differential processo	
Blend Valve	Gauges to monitor differential pressure. Sized for all flow rates.	Daily Log:
	Sized for all now rates.	Daily Log.
	Check valves on hot and cold sides.	Outlet temperature
		(normal between 70°F
	Means to monitor outlet temperature.	and 85°F).
Water Storage Tanks	Conical bottom with drain from lowest point of	Monthly bacteria and
	bottom.	endotoxin.
	Tight fitting lid	Inspect for leaks daily.
	Tight fitting lid.	inspect for leaks daily.
	Vented through a 0.2 micron hydrophobic vent	Document on Log.
	filter.	5
	Internal spray down to facilitate offective	
	Internal spray down to facilitate effective disinfection.	
Endotoxin Retentive	Required after storage tank, deionizer or ultra-	Daily Log:
Filters	violet.	, ,
		Pressure drop (ΔP)
	Must be validated for endotoxin removal.	usually less than 20 psi (some ΔP is necessary
		to verify operation).
	Opaque housing.	
	Gauges to monitor differential pressure.	Monthly testing after
		filter for bacteria and endotoxin.
		Pre- and post-endotoxin
		retentive filter bacteria
		and endotoxin testing
		following installation and when specific type of
		filter is changed.

Component/System	Features	Monitor
Final Ultra-Filters	Installed after other water treatment to polish the water going to the patient.	Monthly bacteria and endotoxin levels.
	Absolute micron filtration at 0.025 micron or lower.	
Labeling	Component device marking labels with	
20000019	manufacturer information and warnings.	
	Piping labeled to identify contents and flow direction (color-coded arrow tape)	
	Tags on action points (gauges, sample ports, valves).	
	Schematic drawing or diagram with markings and tags.	
	Major components labeled to identify device, describe its function, how performance is verified, and actions to take if out of parameters.	
	Physician warning.	
	Operation manual with specifications, detailed instructions, safety features, monitoring, construction materials, disinfection procedures and warnings.	
Safety (Alarms)	Include remote alarm in patient care area if water equipment is not in patient area.	Log alarms as operable daily.
	Monitors cannot be disabled without notice.	
	Temperature compensated resistivity or conductivity meter.	Monthly alarm test.
	Audible alarm at 65 decibels at 3 meters distance.	
	Silence function resets at 180 seconds (3 minutes) and sounds alarm.	
Ultra-Violet (UV) for Water Loop Bacteria	254nm wavelength at 30 milliwatt dose.	Daily Log:
Control	Sized for maximum flow rate.	Continuously monitor the milliwatt-sec/cm ² of at
	On-line monitor of radiant energy with alarm at 16-milliwatt dose.	least 16 with alarm.
	Followed by endotoxin retentive filter.	

Component/System	Features	Monitor
Ozone Disinfection	On-line monitor of dissolved ozone (O ₃) or by analysis of samples using indigo trisulfate	Monitor ozone dose during disinfection
	chemistry.	process. >0.5 ppm or >800 ORP
	Ambient air monitor (OSHA PEL).	for 30-minutes.
Hot Water Disinfection	Hot water of at least 80°C for a minimum manufacturer specified time with temperature monitored at the end of loop.	Log temperature and length of time.
	System must be constructed of heat-resistant materials.	
Water Distribution Piping System	Continuous recirculation loop at 3-5 feet/second (home and portables are exempt).	Monthly monitor bacteria and endotoxin.
	Loop materials and construction shall not contribute to chemical or microbiological contamination.	Daily log loop flow rate and pressure.
Note: All monitoring should have clearly established parameters on the daily log. Work with the equipment manufacturer to set the limits not established by AAMI.		

PROPOSED AAMI MICROBIOLOGICAL STANDARDS FOR CFU / BACTERIA LAL / ENDOTOXIN MONITORING OF WATER AND DIALYSATE

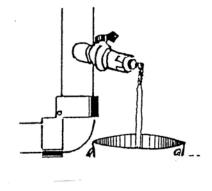
Contamination level for total viable microbial count for water used to prepare dialysate and dialysate (cultures).	100 CFU/mL
Action level for total viable microbial count.	50 CFU/mL
Contamination level for endotoxin concentration in water and dialysate (LAL's).	0.25 EU/mL
Action level for endotoxin concentration.	0.125 EU/mL

Monthly monitoring is required for:

- 1. The product water from the RO water system and in the distribution system.
- 2. Conventional dialysate with sample collected from dialysate port of the dialyzer or from a sampling port in the inlet dialysate line that can be accessed using a syringe.
- Note: Weekly monitoring is required at start-up or if levels exceed maximum levels until a pattern of consistent compliance with limits can be demonstrated.

The proper sampling procedure for bacteria cultures and endotoxin is very important to prevent false results. Sample ports should not be disinfected. AVSV(AAMI PD52;2004 (7.2.2); 42 CEP 404 40 (a)(7.2.2) Tog # V/252

ANSI/AAMI RD52:2004 (7.2.2); 42 CFR 494.40 (a)(7.2.2) - Tag # V252





FLUSH SAMPLE PORT Flush the sample port at full flow for 1-2 minutes. **CLEAN CATCH SAMPLE** Using aseptic procedure, clean catch the water in container and carefully cap.

Conclusion:

Water treatment manufacturers for dialysis must have FDA 510K marketing clearance to demonstrate safety and effectiveness prior to distribution.

Hemodialysis treatment has grown over the past thirty years. As the technology has improved, the patient survival rate has increased. The consensus is that ultra-pure water, with a very low level on endotoxin is best for patients.

Microbiological control is essential for ultra-pure water, especially endotoxin control.

References:

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Centers for Medicare and Medicaid Services (CMS), HHS. US Department of Health and Human Services. Conditions for coverage for end stage renal disease facilities (42 CFR, Part 494) Final Rule 2008.

US Food and Drug Administration. Guidance for the content of pre-market notifications for water purification components and systems for hemodialysis. Rockville (MD) US Food and Drug Administration, 1997.

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